

**Dissertation**

At

**IIHMR Delhi**

**(1<sup>st</sup> March to 15<sup>th</sup> June 2023)**

**A Project Report On**

**Understanding Barriers and Different Approaches to Intervene Quality of Home-Based**

**Neonatal Care: A Rapid Review**

**IIHMR Delhi**

**By Dr Sheetal S**

**PG/21/095**

Under the guidance of

**Dr. Preetha G S & Dr. Sumant Swain**

**PGDM (Hospital & Health Management)**

**2021-2023**



**International Institute of Health Management Research**

**New Delhi**

**Internship training**

At

**IIHMR Delhi**

**(1<sup>st</sup> March to 15<sup>th</sup> June 2023)**

**A Project Report On**

**Understanding Barriers and Different Approaches to Intervene Quality of Home-Based**

**Neonatal Care: A Rapid Review IIHMR Delhi**

**By Dr Sheetal S**

**PG/21/095**

Under the guidance of

**Dr. Preetha G S & Dr. Sumant Swain**

**PGDM (Hospital & Health Management)**

**2021-2023**



**International Institute of Health Management Research**

**New Delhi**

Completion of Dissertation from respective organization

This certificate is awarded to.

**NAME: Dr. Sheetal S**

In recognition of having successfully completed his/her internship and has successfully completed his/her project on

**TITLE OF PROJECT: Understanding Barriers and Different Approaches to Intervene Quality of Home-Based Neonatal Care: A Rapid Review**

**DATE: 1<sup>st</sup> March to 15<sup>th</sup> June 2023**

**ORGANIZATION**

**International Institute of Health Management Research, Delhi**

She comes across as a committed, sincere & diligent person who has a strong drive and Zeal for learning.

We wish her all the best for future endeavors.



Mentor  
Dr. Sumant Swain  
Assistant Professor, IIHMR, Delhi.

TO WHOMSOEVER IT MAY CONCERN

This is to certify that **Dr Sheetal S** student of PGDHM (Hospital and Healthcare Management) from International Institute of Health Management and Research- Delhi has undergone internship training under **IIHMR, Delhi** from **1<sup>st</sup> March to 15<sup>th</sup> June 2023**.

The candidate has successfully carried out the internship tenure and completed the projects assigned to her during her training. She has been sincere, scientific, and analytical in her approach to her study.

The internship is in fulfilment of the course requirement.

I wish her success in all the future endeavors.

Dr. Sumesh Kumar  
Associate Dean  
(Academic and student affairs)  
IIHMR, New Delhi

  
Mentor,  
Dr. Sumant Swain  
Assistant Professor,  
IIHMR, Delhi

## Certificate of Approval

The following dissertation titled “Understanding Barriers and different approaches to intervene quality of Home Based Neo-natal Care - A Rapid Review” at “IIHMR-Delhi” is hereby approved as a certified study in management carried out and presented in a manner satisfactory to warrant its acceptance as a prerequisite for the award of PGDM (Hospital & Health Management) for which it has been submitted. It is understood that by this approval the undersigned do not necessarily endorse or approve any statement made, opinion expressed or conclusion drawn therein but approve the dissertation only for the purpose it is submitted.

Dissertation Examination Committee for evaluation of the dissertation.

Name

Signature

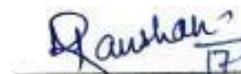
NAVEEN JASNI

  
17/06/23

Dr Rohini Rishi

  
17/06/23

MUKESH RAVI RAUSHAN

  
17/06/23

Certificate from Dissertation Advisory Committee

This is to certify that Dr. Sheetal S, a post-graduate student of the PGDM (Hospital & Health Management) at IIHMR- Delhi has worked under our guidance and supervision. She is submitting this dissertation titled **“Understanding Barriers and Different Approaches to Intervene Quality of Home-Based Neonatal Care: A Rapid Review”** in partial fulfillment of the requirements for the award of the PGDM (Hospital & Health Management).

This dissertation has the requisite standard and to the best of our knowledge no part of it has been reproduced from any other dissertation, monograph, report, or book.



Mentor,  
Dr. Sumant Swain  
Assistant Professor,  
IIHMR, Delhi

### CERTIFICATE BY SCHOLAR

This is to certify that the dissertation titled "**Understanding Barriers and Different Approaches to Intervene Quality of Home-Based Neo-Natal Care: A Rapid Review**" and submitted by Dr Sheetal S, Enrolment No. PG/21/095 under the supervision of Dr. Preetha G S and Dr. Sumant Swain. for award of PGDM (Hospital & Health Management) of the Institute carried out during the period from **1<sup>st</sup> March to 15<sup>th</sup> June 2023**, embodies my original work and has not formed the basis for the award of any degree, diploma associate ship, fellowship, titles in this or any other Institute or other similar institution of higher learning.

*Sheetal.S*  
2

Signature

Dr. Sheetal S.

FEEDBACK FORM

Name of the student: Dr. Sheetal S

Name of the organization in which dissertation has been completed: IHMR, Delhi

Area of dissertation: Secondary Research.

Attendance: 100%.

Objectives achieved: Yes - Satisfactory

Deliverables / strengths: Handwriting, sincere and punctual.

Suggestions for improvement: NA.

Suggestions for institute (course curriculum, industry interactions, placement, alumni): Satisfactory

Signature of the office-in-charge/organization mentor(dissertation)

Date: 01/09/2023

Place: Delhi

RIPAPP



INTERNATIONAL INSTITUTE OF HEALTH MANAGEMENT RESEARCH (IIHMR)

Plot No. 3, Sector 18A, Phase- II, Dwarka, New Delhi- 110075

Ph. +91-11-30418900, [www.iihmrdelhi.edu.in](http://www.iihmrdelhi.edu.in)

**CERTIFICATE ON PLAGIARISM CHECK**

Name of Student (in block letter)	Dr/Mr./Ms.: SHEETAL S.		
Enrolment/Roll No.	PG/21/ 095	Batch Year	2021-2023
Course Specialization (Choose one)	Hospital Management	Health Management	Healthcare IT
Name of Guide/Supervisor	Dr/Prof.: SUMANT SWAIN		
Title of the Dissertation/Summer Assignment	Understanding barriers & Different Approaches to intervene quality of HBNC : A Rapid Review		
Plagiarism detects software used	"TURNITIN"		
Similar contents acceptable (%)	Up to 15 Percent as per policy		
Total words and % of similar contents identified	7.1		
Date of validation (DD/MM/YYYY)	29.06.2023.		

**Guide/Supervisor**

Name: Dr. Sumant Swain

Signature:

Report checked by

Institute Librarian

Signature:

Date:

Library Seal



**Student**

Name: Dr. Sheetal S.

Signature:

Dean (Academics and Student Affairs)

Signature:

Date:

(Seal )

## ACKNOWLEDGEMENT

I would like to express my sincere gratitude to IIHMR Delhi (Indian Institute of Health Management Research) for providing me with the opportunity to work under the WHO POCQI project. This project has been an invaluable experience in enhancing my knowledge and skills in the field of healthcare management.

I am immensely grateful to Dr Preetha G S and Dr Sumant Swain, my mentors and guide throughout this project. Their expertise, guidance, and unwavering support have been instrumental in shaping my understanding of the healthcare landscape and project management. Their invaluable insights, constructive feedback, and continuous encouragement have greatly enriched my learning experience.

Next, I would like to extend my heartfelt appreciation to my parents, whose unwavering support and sacrifices have made my educational journey possible. Their constant encouragement, love, and belief in my abilities have been a constant source of strength for me. Their unwavering faith in my potential has been a driving force behind my achievements, and I am forever grateful for their unwavering support.

I am truly grateful to all my beloved friends, ever-supporting colonels, and the organization, as their contributions have been indispensable in my professional growth and development.

Dr Sheetal S.

Date- June 2023

## **TABLE OF CONTENTS**

<b><u>S No</u></b>	<b><u>Topic</u></b>	<b><u>Page No</u></b>
1.	ABBREVIATIONS	12
2.	ABOUT THE ORGANIZATION	13 - 14
3.	BACKGROUND	15 - 18
4.	RATIONALE	19
5.	OBJECTIVE	19
6.	METHODOLOGY	20 - 21
7.	RESULTS	22 - 30
8.	DISCUSSION	22 – 30
9.	WAY FORWARD	31
10.	CONCLUSION	31
11.	REFERENCES	32 - 41
12.	ANNEXURES	42 - 47

## **ABBREVIATIONS:**

HBNC – Home Based Neonatal Care

SDG – Sustainable Development Goals

NMR – Neonatal Mortality Rate

MMR – Maternal Mortality Rate

IMNCI - Integrated Management of Neonatal and Childhood Illnesses

AWW – Anganwadi Worker

ASHA – Accredited Social Health Activists

ANM – Auxillary Nursing Midwives

JSSK - Janani Shishu Suraksha Karyakaram

NSSK – Navjat Shishu Suraksha Karyakaram

LaQshya – Labour Room Quality Improvement Initiative

SUMAN – Surakshit matritva aashwasan

KMC – Kangaroo Mother Care

## **ABOUT THE ORGANIZATION**



The International Institute of Health Management Research (IIHMR), New Delhi is allied to the ‘Society for Indian Institute of Health Management Research’ which was established in October 1984 under the Societies Registration Act-1958.

IIHMR-Delhi was setup in 2008 in response to the growing needs of sustainable management and administration solutions critical to the optimal function of healthcare sector both in India and in the AsiaPacific region.

IIHMR Delhi are a leading institute of higher learning that promotes and conducts research in health and hospital management; lends technical expertise to policy analysis and formulation; develops effective strategies and facilitates efficient implementation; enhances human and institutional capacity to build a competent and responsive healthcare sector. There multi-dimensional approach to capacity building is not limited to academic programs but offers management development programs, knowledge and skillsbased training courses, seminars/webinars, workshops, and research studies.

### **There four core activities are...**

- Academic courses at masters and doctoral level in health and hospital management to meet the growing need of skilled healthcare professionals.
- Research that has high relevance to health policies and programs at national and global level.
- Continued education through management development programs and executive programs for working professionals to help them upgrade their knowledge and skills in response to the emerging needs of the industry.
- Technical consultation to the national and state-level flagship programs to address the gaps in planning as well as implementation.

### **Mission**

IIHMR Delhi is an institution dedicated to the improvement in standards of health through better management of health care and related programs. It seeks to accomplish this through management research, training, consultation and institutional networking in a national and global perspective.

### **Vision**

IIHMR is a premier institute in health management education, training, research, program management and consulting in the health care sector globally. The Institute is known as a learning organization with its core values as quality, accountability, trust, transparency, sharing knowledge and information. The Institute aims to contribute to social equity and development through its commitment to support programs aiming at poor and the deprived population.

## **Understanding Barriers and Different Approaches to Intervene Quality of Home-Based Neonatal Care: A Rapid Review**

### **BACKGROUND:**

The health status of a country is well-represented by its maternal and child mortality rates. Maternal deaths occur due to complications during or following pregnancy and childbirth. The most common complications that account for 75% of maternal deaths include postpartum hemorrhage, infection, preeclampsia/eclampsia, complications from delivery and unsafe abortion. Most of these complications are preventable or treatable, as health-care solutions to address them are well known. (1)

The maternal mortality ratio (MMR) in low-income countries is 462 per 100000 live births while that of high-income countries is 11 per 100000 live births, which means the lifetime risk for maternal death in 15-year-old women in high income countries is one in 5400 in comparison to 1 in 45 in low-income countries. This difference clearly demonstrates the inequity among rich and poor, in provision of and access to quality health services. (1) As per UN estimates, in 2017, there were 24 million live births in India, of which 35,000 maternal deaths occurred during or shortly after the childbirth, which gives MMR of 145 per 100000 live births. (2) This represents 12% of global maternal deaths. (3)

The MMR in India has declined from 398 per 100000 live births in 1997-98 to 99 per 100000 live births in 2020.<sup>3</sup> The health infrastructure in India has tremendous improvement post launch of National Rural Health Mission in 2005, which is sub-mission of National Health Mission.<sup>7</sup> The Government of India agreed to ambitious goals of Sustainable Development Goals (SDGs), which targets to reduce the MMR fewer than 70 per 100000 population and Neonatal mortality rate (NMR) less than 10 by 2030.(8)

Globally, it is estimated that 2.5 million neonates die in their first month of life, where India alone contributes to 600,000 neonatal deaths. (14,15) Significant percentage of global maternal, neonatal and under-five mortality is contributed by India. (4) One of the weakest links in low- and middle-income countries is lack of system thinking approach. (5) This link is further challenged in health systems by provision of low quality of care (QoC). (6) It is estimated that, of 1 million newborn deaths worldwide, around 60% occurred due to poor quality of care.(16)

The most common causes of death in newborns are due to asphyxia, infections including pneumonia, sepsis, and diarrhoea. First week of newborn's life is considered as the most vulnerable period, as it accounts for 70% of the neonatal mortality rate and about 40% of newborn deaths occurs within first 24 hours. (39)

To improve neonatal care, various programmes were launched by Government of India. The importance of home-visits to improve neonatal care was first shown by Dr. Abhay Bang in 1990s at Gadchiroli, Maharashtra. Integrated Management of Neonatal and Childhood Illnesses (IMNCI) was launched in 2004, where ASHA, Anganwadi workers (AWWs) and Auxillary Nursing Midwives (ANMs) were trained. Later in 2011, Home-based Neonatal Care was launched, this program focused on ASHAs trainings, conducted in four rounds using two modules (module 6 and module 7). Each round consists of five days of trainings and between each round, there is a gap of 10 to 12 weeks. It is expected that all the four rounds are attended within a year. (39)

To reduce neonatal mortality and morbidity, HBNC focuses on various factors, which includes a) early identification of danger signs and provide them with required care and appropriate referral. b) special care provision to low birth weight and essential care to all newborns. c) counsel family members to adopt

healthy practices to safeguard health of newborns. During visits, ASHAs were expected to counsel family members to promote exclusive breast feeding for first six month and after 6 months emphasis was given on complimentary feeding practices, promote hygienic practices with focus on handwashing, cord care, skin care and eye care, facilitate distribution of IFA syrup, Oral Rehydration Solution, to ensure development of child with regular growth monitoring and promoting Early Child Care and Development. ASHAs make 6 or 7 visits based on institutional delivery or home delivery respectively, for the first six weeks of newborn's life. (39)

Early diagnosis of risks by ante-partum and post-partum visits have shown to improve survival. (17,18) For improving the child survival, Government of India in 2011 adopted a strategy called Home-based Neonatal Care, which provided home based Antenatal care 6-7 visits till 42 days of newborn by Accredited Social Health Activists (ASHAs). This would help for early identification of sick newborns and provide them with appropriate care and referral, when required. (19) This home visitation has been an effective model for provision of high quality maternal and neonatal care. (33,34) Later in 2013, HBNC plus program was piloted in Rajasthan, Madhya Pradesh, Odisha, and Bihar, which included four more visits at 3, 6, 9 and 12 months. During this, ASHAs counsels' mothers for age-appropriate feeding, immunization, IFA, growth monitoring, Early childhood development, growth monitoring and hand washing.

Other programmes that were launched to improve the neonatal care included Janani Shishu Suraksha Karyakaram (JSSK) that was launched June, 2011, with objective to improve and promote institutional delivery and provide free transport for delivery and for referral, helping to eliminate Out of pocket expenditure. For prevention of hypothermia and infections and to provide newborn resuscitation, Navjat

Shishu Suraksha Karyakaram (NSSK) was launched. Though neonatal care is one of the priority issues that is being addressed by Government, there are various challenges affecting the quality of care provision and programme implementation, these includes lack of availability of trained manpower and logistics, while reluctance of trained manpower, mainly that of doctors, to provide service in rural areas is the major hurdle for provision of quality health care. (45) To improve the quality of labour room LaQshya programme was launched and SUMAN (Surakshit matritva aashwasan) was launched to provide free transport, drugs and diagnostics for both mother and infants. The Lancet Global Health Commission has also mentioned that without quality any strategies are not sufficient to improve health in low- and middle- income countries. (46)

WHO-SEARO launched regional framework for Quality of Care in 2015, this has led do development of Point of Care Quality Improvement (POCQI) model.<sup>9,10</sup> Quality improvement initiative for special newborn care units and delivery areas across government healthcare facilities was provided by establishment of LaQshya in 2017.<sup>11</sup> Quality improvement helps to identify gaps in QoC with problem solving approach rather than a fault-finding exercise. To improve the quality of services provision across health care facilities at point of care, WHO-SEARO in collaboration with All India Institute for Medical Sciences (AIIMS) and USAID ASSIST, developed POCQI model, that provides implementation guidance, so that implementation program can be set-up and managed at district level. <sup>12</sup>

POCQI model has been successfully used in various health care settings for improvement of QoC efficiently with the available resources.<sup>13</sup> Various techniques that improves the maternal and child health has been developed using PDSA (Plan-Do-Study-Act) cycle, but till date never been compiled in an individual paper. Hence, this review will focus on providing high quality evidence by compiling all the available papers from 2012 that dealt with quality improvement techniques in provision of maternal and child health services across various health care facilities. This systematic review could help to identify

benefits and gaps in model and can also identify the best techniques for maternal and childcare that was established using implementation guidelines of the model. These efficient and effective techniques can be further be disseminated and utilized by other districts across the health care facilities.

### **RATIONALE:**

The launched programmes has led to improvement in neonatal health and mortality rate. GOI has agreed to ambitious goals of SDGs, which targets to reduce the Neonatal mortality rate (NMR) less than 12 per 1000 live births by 2030. This requires modification and innovation in the existing programmes. This review focuses to identify the issues of home visits care provision and on compiling all the initiatives taken by any of the health care center to improve HBNC. Hence, this review would help identify benefits and gaps in model and this knowledge can further be disseminated and utilized by other districts across health care facilities.

### **OBJECTIVES:**

#### **Primary Objective:**

To compile various approaches to intervene the quality of Home Based Neo-natal care.

#### **Secondary objectives:**

- To identify the barriers related to home-based care provision.
- To understand the effect of various methods on quality of Home Based Neo-natal Care

## **METHODOLOGY:**

**Study design:** The study is secondary research conducted from January 2023 to May 2023.

**Types of studies:** Descriptive, Observational and Experimental studies. The studies were either full text or abstract only, published, or unpublished data.

**Types of participants:** Neonates were considered as participants for the study.

**Types of interventions:** Implementation of any quality improvement method for home-based care in any district in India

**Type of Outcome:** Effect or modification in quality of HBNC were the outcomes that were looked at.

### **Search methods for identification of studies:**

- Electronic database searches included PUBMED, COCHRANE, and Google Scholar. Keywords such as “Quality” OR “barriers” AND “neonate” OR “newborn” OR “neonatal” AND “homebased care” OR “home-visits” OR “home care” OR “home based” AND “India” were used for searches.

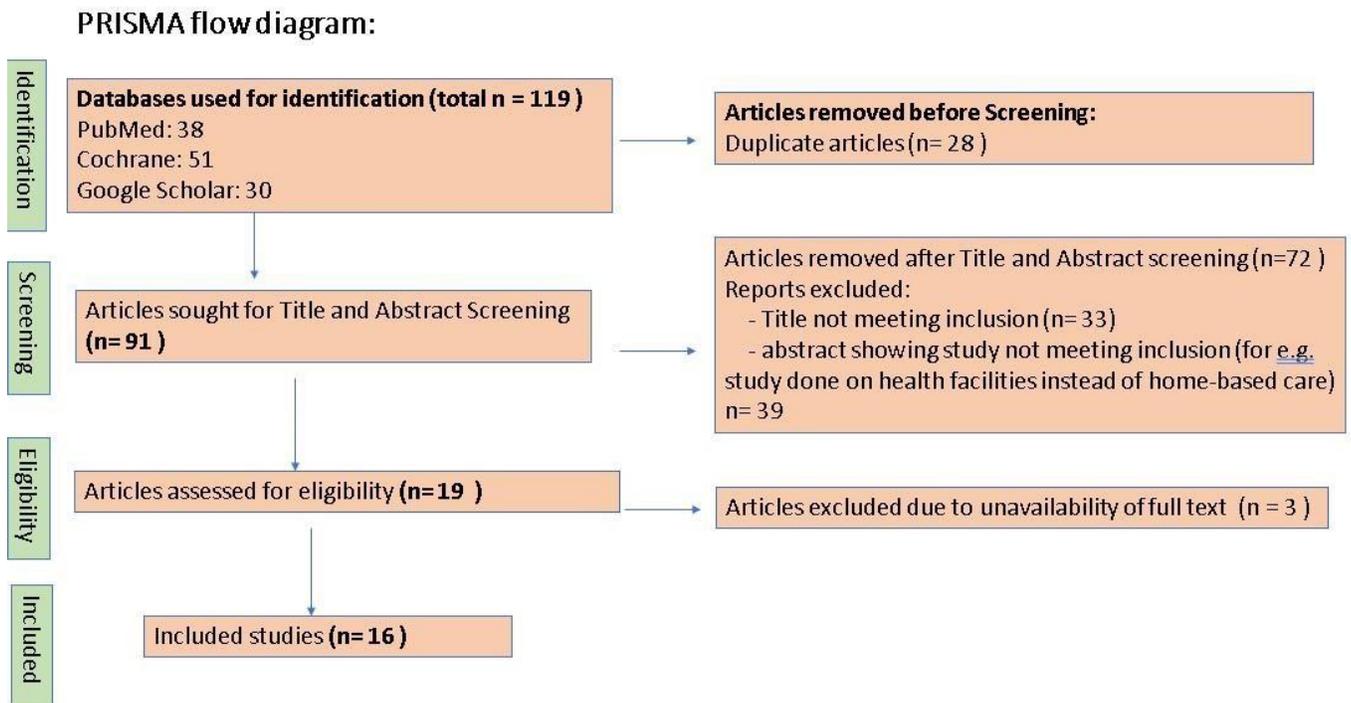
### **Methods of review:**

### **Quality assessment:**

- Joanna Briggs (JBI) tool was used to critically appraise the articles.

- The papers not fulfilling the protocol criteria and, in any language, other than English were excluded.

**PRISMA flow diagram:**



**Ethical considerations**

The study was presented at Student Review Board and as it is secondary research, it was consented free from ethical concerns.

## **RESULTS & DISCUSSION:**

### Issues in care provision:

<b>Year &amp; Journal</b>	<b>Authors</b>	<b>Results</b>
Front. Public Health, 2022	<i>Deshmukh et al.</i>	<ul style="list-style-type: none"> <li>- Socio-cultural factors (caste, economic divide and religious beliefs along with family related challenges of ASHA)</li> <li>- Educational factors (capacity building, communication and technical skills)</li> <li>- Organizational issues (supportive supervision, planning and management of field activities, availability of supplies)</li> <li>- Economic issues (Incentives &amp; availability of resources)</li> <li>- Physical issues (ASHAS mobility)</li> </ul>
CRM Report, 2017	<i>11<sup>th</sup> common review mission. NHM. MoHFW</i>	<ul style="list-style-type: none"> <li>- ASHAs were able to detect only 2% of sick newborns, follows up to SNCU discharged babies to 6.8%.</li> <li>- Inadequate supportive supervision of ASHA for implementation of HBNC was observed in all states.</li> </ul>
Indian Pediatr. 2016	<i>Bansal et al.</i>	Despite formal trainings and module conduction, ASHAs lack adequate competency and communication skills

Leadersh Health Serv. 2016	<i>Shrivastava et al.</i>	Even with 5 days training, ASHAs failed to follow proper guidelines of HBNC, this shows that mere training is not sufficient to improve the quality.
J Perinatol. 2016	<i>Chauhan et al.</i>	Issues are commonly related to logistics, lack of skilled manpower, weak monitoring and supervision systems.
NIPI report, 2014		Home visitation by ASHA under HBNC and HBNC plus program are both inadequate and poor in quality with less than 10% of sick newborns being mobilized to facilities by FLW.
Indian Pediatr. 2014	<i>Das et al Phatak A G</i>	80% instances ASHAs failed in comprehensive assessment and diagnosis of illness in the newborns
J Family Med Prim Care, 2021	<i>et al Pathak et al</i>	ASHAs deficit technical skills, with not being able to perform bag and mask, KMC skills. Deficient in basic skills – weight & temperature measurement.

The issues for community-based programmes are commonly related to logistics, lack of skilled manpower, weak monitoring and supervision systems. (38)

The roles of ASHAs includes – to improve health awareness in community, facilitate access to service and provision of basic curative care. (37) During the home-visits, ASHAs focus on ANC, promoting and

supporting of institutional delivery and breastfeeding, early identification of sick newborns. (20) A study by Das et al. stated that in 80% of instances, ASHAs failed in comprehensive assessment and diagnosis of illness in the newborns. (18) Studies have shown that despite formal trainings and module conduction, ASHAs lack adequate competency and communication skills. (d-23) This suggests higher need to address this issue and provide reinforcement trainings for ASHAs to improve the quality of healthcare provision. (18, 24-27) Even with 5 days training, ASHAs failed to follow proper guidelines of HBNC, this shows that mere training is not sufficient to improve the quality.

A study conducted by Deshmukh et al (35), to assess the barriers to home visits, showed that personal issues such as cultural constraints (for example, socio-cultural belief seclusion of mother and child postpartum), domestic responsibilities, etc. and societal issues such as economic and caste discrimination, acted as key constraints to home visits, while other issues included trust deficit by families on ASHAs, which was either due to low education status, lack of confidence or communication ability. The gaps in programme such as lack of mentoring systems, inability to impart technical skills and knowledge, communication skills of ASHAs, increased workload on ASHAs, insufficient or irregular supply of products and issues related to remuneration system, were other barriers to home-visits.

*Phatak et al* conducted study to assess the knowledge and skills of health care workers regarding HBNC and found that among the participants not even single ASHA was able to perform KMC skills or bag and mask skills satisfactorily, while only 4.1% of ASHAs were able to use suction properly. (40) A study conducted at Rajasthan stated that there are variety of factors that affect ASHA's performance and her motivation to work, this includes personal factors such as family background, education; professional factors such as incentives, training; organizational factors like supervision or infrastructure. (41)

One of the studies stated that delayed and low incentives were the main driver for low coverage and quality compared to the targets and protocols expected. Other factors included constraints in the system level that included lack of coordination between various health care providers, lack of supplies. (42)

A cross sectional study conducted by *Pathak et al* to assess knowledge and practices of ASHA, where 200 mothers of newborns were included as participants and the results showed that about half of mothers received counselling about breastfeeding, 41% on proper positioning of babies, 58% of them received counselling about timing of first bath, 24% on skin care and 67% on eye care, while for immunization it was 99%. (44) **Techniques:**

Several approaches such as **improved pedagogy techniques to train ASHAs, supportive supervision, introduction of additional cadre such as Yashoda, to provide counselling and care to mothers and newborns.** (36) A study conducted to assess the benefits of combined care provision by ASHA and Yashoda stated that exposure of mothers to Yashoda and ASHAs trained by NIPI had significant improvement of almost 3 times on newborn care indicators associated with counselling and practice. (37)

Year & Journal	Authors	Results:
Midwifrey, 2022	<i>Devi et al</i>	Re-education and training:  KAP significantly improve after the intervention regarding hypothermia from 80% to 95%, KMC importance from 56% to 87%, skills for early diagnosis of high-risk newborns improved.

<p>J Global Health,2021</p>	<p><i>Lewis et al.</i></p>	<p>Home based neonatal care plus: Rajasthan, Madhya Pradesh, Odisha and Bihar. Increase in visits had no detectable effect on key outcome of feeding practices,</p>
		<p>handwash, ORS supplementation, growth monitoring and immunization. Significant change in IFA supp.</p>
<p>Indian J of Pediatrics, 2019</p>	<p><i>Goel et al.</i></p>	<p>Multi-interventional approach:</p> <ul style="list-style-type: none"> <li>- Technical skill enhancement of ASHAs using live demonstrations, videos, and case studies.</li> <li>- Group discussions, role plays and lectures to improve the communication skills.</li> <li>- Supportive supervision to ASHAs through post-training field visits.</li> <li>- Provision of logistic and technical support to conduct community meetings.</li> <li>- Reinforcement of messages for mothers and other family members, by provision of gift items such as soap for handwashing, gown for breastfeeding, cap for thermal care, t-shirt and bag for involvement of other family members.</li> </ul>

<p>Advances in Medical Education and practice, 2019</p>	<p><i>Gupta et al</i></p>	<p>Micro-teaching:  12 ANM trained using micro-teaching - Videos were recorded of ANMs, while they performed HBNC services. Later these recordings were displayed in classroom, where individuals observed their performance. In addition, a oneday review and in class and at home training sessions were arranged to retrain the health workers. The gaps in the  performance were rectified by demonstration of right methods by investigators and supervisors.</p>
<p>Sex Repro Healthc.,2018</p>	<p><i>Mozumdar et al</i></p>	<p>Training Self-Help Groups:  Increase in knowledge of women to identify danger signs</p>
<p>National Medical Journal of India, 2011</p>	<p><i>Garg et al</i></p>	<p>Additional cadre:  Assessed the benefits of combined care provision by ASHA and Yashoda and stated that exposure of mothers to Yashoda and ASHAs had significant improvement of almost 3 times on newborn care indicators associated with counselling and practice.</p>

### **Increase of Visits:**

Since the launch of HBNC, several modifications were adopted to innovate and improvise the programme. The states with high neonatal mortality rates were piloted for HBNC plus programmed – Rajasthan, Madhya Pradesh, Odisha and Bihar, with Norwegian India Partnership Initiative (NIPI). (36) the main objective of this goal was to reduce diarrhoea and pneumonia related morbidity and mortality. For this reason, four visits were added to the existing ones, which included quarterly visits till 2 years of age. Later this intervention was nationally scaled up and an additional visit at 15 months was added, and this programme was named Home-base Care for Young Child.

A study by *Lewis et al* to evaluate the impact of HBNC plus programme, assessed by investigating the health behaviors and service uptake. The study found that the intervention at large had no significant or detectable effect on important outcomes such as handwashing, ORS distribution, handwashing and feeding practices, vaccination, and growth monitoring practices. The study also found that target to complete four visits were found in only 39% of children. The study suggested that participatory learning of groups should be focused as this has shown to have a good impact on India. (42) This was quite similar to the study conducted for rapid assessment of HBNC plus programme, which concluded that there was no detectable difference between the outcome level of intervention areas and controlled areas with regards to rates of breastfeeding, handwashing, immunization and complementary feeding. (43) **Multi-**

### **interventional:**

To improve the quality of care by ASHA, an interventional study conducted by *Goel et al*, included following interventions: (28)

- Technical skill enhancement of ASHAs using live demonstrations, videos, and case studies in refresher trainings.
- Group discussions, role plays and lectures to improve the communication skills.

- Supportive supervision to ASHAs through post-training field visits.
- Provision of logistic and technical support to conduct community meetings.
- Reinforcement of messages for mothers and other family members, by provision of gift items such as soap for handwashing, gown for breastfeeding, cap for thermal care, t-shirt and bag for involvement of other family members.

Key messages during the interventions focused on recognition of warning signs, thermal care that included Kangaroo Mother care (KMC), delayed first bath and appropriate clothing, initiation of early breastfeeding, six months exclusive breastfeeding and free ambulance services. ASHAs were given two days training, the first day was dedicated to reinforcing the technical skills, while the second day focused to develop communication skills. There was **detailed microplanning** for community meetings.

Evaluation was done after 6 months of the intervention. Mothers who delivered recently were asked questions related to ASHAs quality of care, on day 7 and day 28 post-partum. This intervention areas were compared to the areas where no interventional study was done, and the ASHAs were providing standard care. The results showed that in intervention areas, ASHAs made at least 4 home-visits, advised on cord care, maternal nutrition, first and recognized danger signs as compared to no-intervention group. The mothers in intervention area recognized danger signs two times better than the other group and reported neonatal illness to ASHAs 4 times better. The mothers of test group reported that ASHAs have better communication skills with relative satisfaction to ASHAs work. This study described the need for trainings, reinforcement trainings, communication skills development, supportive supervision to improve performance of ASHAs, which in turn play a vital role to improve quality of home-based neonatal care.

### **Micro-teaching:**

The performance of services tends to be skill based, and the skills acquired by training are usually insufficient for ASHAs, as without routine practice and lack of supervision, it gets forgotten. (30)

Micro-teaching is known to be an effective method to enhance skill with help of supervision and constructive feedback. The steps involved are: “plan, teach, observe, re-plan, re-teach and reobserve.” (31) Use of audio-visual aids, transfers skills efficiently in training programs such as of neonatal resuscitation in rural hospitals. (32)

A Pilot study was conducted in Chandigarh by *Gupta et al*, (29) where 12 ANMs were trained using micro-teaching. The skills of the ANMs were tested at baseline and post intervention under three major domains: maternal history and examination, maternal counseling, and newborn examination. Videos were recorded of ANMs, while they performed HBNC services. Later these recordings were displayed in classroom, where individuals observed their performance. In addition, a **one-day review** and **in class and at home training sessions** were arranged to **retrain** the health workers. The gaps in the performance were rectified by demonstration of right methods by investigators and supervisors.

Assessment of maternal examination skills were poor at baseline, while there was significant improvement after third round. Similar results with statistically significant improvements in maternal counseling and neonatal examination was observed after the intervention compared to that of baseline. Hence, microteaching techniques create sense of self confrontation of one’s own behavior, provides constructive feedback and continuous supervision that instigates better performance leading to improved quality of care.

## **WAY FORWARD:**

- To scale up, there should be participatory learning activities of community with supportive supervision.
- Linking of government with other organizations (private and NGO)
- Regular meetings among the health care workers to prioritize and address the issues
- Collaborative learning and virtual use of digital technology to reach healthcare provider via virtual e-Learning and smartphone applications
- There is requirement of SBCC strategies that would help to overcome socio-cultural barriers. Timely remuneration and motivation to ASHAs, constant re-trainings on technical skills and communication abilities.
- Effective governance with focus on strengthening the existing programmes.
- Quality of training needs improvement with various modes for continuous training and meetings.

## **CONCLUSION:**

The study was successful in understanding the barriers to HBNC and compile the interventions to improve the quality from existing literature. However, the study was unable to quantify the impact of these interventions, due to limited availability of literature. There requires immediate action to improve the quality of HBNC provision for achieving SDG 2030. Multi-interventional approach with strong governance remains the key for future growth.

## **REFERENCES:**

1. World Health Organization. Maternal mortality. Genève, Switzerland: World Health Organization; 2021. Available from: <https://www.who.int/europe/news-room/fact-sheets/item/maternal-mortality>
2. World Health Organization. Trends in maternal mortality 2000 to 2017: estimates by WHO, UNICEF, UNFPA, World Bank Group and the United Nations Population Division: executive summary. World Health Organization; 2019.
3. Meh C, Sharma A, Ram U, Fadel S, Correa N, Snelgrove JW, et al. Trends in maternal mortality in India over two decades in nationally representative surveys. *BJOG* [Internet]. 2022 [cited 2023 Jun 29];129(4):550–61. Available from: <https://pubmed.ncbi.nlm.nih.gov/34455679/>
4. Sankar MJ, Neogi SB, Sharma J, Chauhan M, Srivastava R, Prabhakar PK, et al. State of newborn health in India. *J Perinatol* [Internet]. 2016;36(S3):S3–8. Available from: <http://dx.doi.org/10.1038/jp.2016.183>
5. Morton S, Pencheon D, Squires N. Sustainable Development Goals (SDGs), and their implementation. *Br Med Bull* [Internet]. 2017 [cited 2023 Jun 29];124(1):1–10. Available from: <https://pubmed.ncbi.nlm.nih.gov/29069332/>
6. Datta V, Srivastava S, Garde R, Mehta R, Livesley N, Sawleshwarkar K, et al. Development of a framework of intervention strategies for point of care quality

improvement at different levels of healthcare delivery system in India: initial lessons. *BMJ Open Qual* [Internet]. 2021 [cited 2023 Jun 29];10(Suppl 1):e001449.

Available from:

[https://bmjopenquality.bmj.com/content/10/Suppl\\_1/e001449](https://bmjopenquality.bmj.com/content/10/Suppl_1/e001449)

7. Chokshi M, Patil B, Khanna R, Neogi SB, Sharma J, Paul VK, et al. Health systems in India. *J Perinatol* [Internet]. 2016 [cited 2023 Jun 29];36(s3):S9–12. Available from:

<https://pubmed.ncbi.nlm.nih.gov/27924110/>

8. World Health Organization. Strategies towards ending preventable maternal mortality (EPMM). Genève, Switzerland: World Health Organization; 2015.

9. World Health Organization. Regional Office for South-East Asia. Towards maternal and newborn survival in the WHO South-East Asia Region: Implementation experience of the WHO

SEARO model of point-of-care quality improvement (POCQI). World Health Organization. Regional Office for South-East Asia; 2020.

10. Deorari A M. Improving the quality of care for mothers and newborns in health facilities: point of care quality improvement facilitator manual. New Delhi: World Health Organization Regional Office for South- East Asia, 2017 [Internet]. Who.int. 2017 [cited 2023 Jun 29]. Available from: <https://platform.who.int/docs/default-source/mca->

documents/qoc/quality-ofcare/point-of-care-quality-improvement-learner-manual.pdf?sfvrsn=bd8260c3\_4

11. Gopal KM. Strategies for ensuring quality health care in India: Experiences from the field. *Indian J Community Med* [Internet]. 2019;44(1):1–3. Available from: [http://dx.doi.org/10.4103/ijcm.IJCM\\_65\\_19](http://dx.doi.org/10.4103/ijcm.IJCM_65_19)
  
12. Setting up and managing a quality improvement programme at district level. World Health Organization Regional Office for South-East Asia. 2018 [Internet]. Pocqi.org. [cited 2023 Jun 29]. Available from: <https://www.pocqi.org/wp-content/uploads/2018/07/ProgrammeManagement-Guide.pdf>
  
13. Improving the Quality of Care for Mothers, Newborns and Children in Health Facilities. World Health Organization Regional Office for South-East Asia. 2020 [Internet]. Pocqi.org. 2020 [cited 2023 Jun 29]. Available from: [https://www.pocqi.org/POCQI\\_Learner\\_Manual\\_Ver03\\_2020.pdf](https://www.pocqi.org/POCQI_Learner_Manual_Ver03_2020.pdf)
  
14. Hug L, Alexander M, You D, Alkema L, UN Inter-agency Group for Child Mortality Estimation. National, regional, and global levels and trends in neonatal mortality between 1990 and 2017, with scenario-based projections to 2030: a systematic analysis. *Lancet Glob Health* [Internet]. 2019 [cited 2023 Jun 29];7(6):e710–20. Available from: <https://pubmed.ncbi.nlm.nih.gov/31097275/>

15. Mortality rate, neonatal (per 1,000 live births) - India [Internet]. World Bank Open Data. [cited 2023 Jun 29]. Available from: <https://data.worldbank.org/indicator/SH.DYN.NMRT?locations=IN>
16. Kruk ME, Gage AD, Joseph NT, Danaei G, García-Saisó S, Salomon JA. Mortality due to low-quality health systems in the universal health coverage era: a systematic analysis of amenable deaths in 137 countries. *Lancet* [Internet]. 2018 [cited 2023 Jun 29];392(10160):2203–12. Available from: <https://pubmed.ncbi.nlm.nih.gov/30195398/>
17. Bang AT, Bang RA, Reddy HM. Home-based neonatal care: summary and applications of the field trial in rural Gadchiroli, India (1993 to 2003). *J Perinatol* [Internet]. 2005 [cited 2023 Jun 29];25 Suppl 1(S1):S108-22. Available from: <https://pubmed.ncbi.nlm.nih.gov/15791272/>
18. Das E, Panwar DS, Fischer EA, Bora G, Carlough MC. Performance of accredited social health activists to provide home-based newborn care: a situational analysis. *Indian Pediatr* [Internet]. 2014 [cited 2023 Jun 29];51(2):142–4. Available from: <https://pubmed.ncbi.nlm.nih.gov/24632696/>
19. Neogi SB, Sharma J, Chauhan M, Khanna R, Chokshi M, Srivastava R, et al. Care of newborn in the community and at home. *J Perinatol* [Internet]. 2016 [cited 2023 Jun 29];36(s3):S13–7. Available from: <https://pubmed.ncbi.nlm.nih.gov/27924109/>
20. Home based newborn care: operational guidelines [Internet]. *Nhsrindia.org*. 2011 [cited

2023 Jun 29]. Available from: <https://nhsrcindia.org/sites/default/files/2021-03/>

21. Bansal SC, Nimbalkar SM, Shah NA, Shrivastav RS, Phatak AG. Evaluation of knowledge and skills of home based newborn care among accredited social health activists (ASHA). *Indian Pediatr* [Internet]. 2016 [cited 2023 Jun 29];53(8):689–91. Available from:

<https://pubmed.ncbi.nlm.nih.gov/27395839/>

22. Shrivastava A, Srivastava A. Measuring communication competence and effectiveness of ASHAs (accredited social health activist) in their leadership role at rural settings of Uttar Pradesh (India). *Leadersh Health Serv (Bradf Engl)* [Internet]. 2016 [cited 2023 Jun 29];29(1):69–81. Available from: <https://pubmed.ncbi.nlm.nih.gov/26764961/>

23. Kant S, Misra P, Gupta S, Goswami K, Krishnan A, Nongkynrih B, et al. The Ballabgarh Health and Demographic Surveillance System (CRHSP-AIIMS). *Int J Epidemiol* [Internet]. 2013 [cited 2023 Jun 29];42(3):758–68. Available from: <https://pubmed.ncbi.nlm.nih.gov/23620380/>

24. Shrivastava SR, Shrivastava PS. Evaluation of trained Accredited Social Health Activist (ASHA) workers regarding their knowledge, attitude and practices about child health. *Rural Remote Health* [Internet]. 2012 [cited 2023 Jun 29];12(4):2099. Available from:

<https://pubmed.ncbi.nlm.nih.gov/23198703/>

25. Dholakia RH, Bajpai N. Improving the performance of accredited social health activists in India. 2011; Available from: <http://dx.doi.org/10.7916/D8988G63>

26. A cross sectional study on knowledge, attitude and practice regarding spacing methods among married women of the reproductive age group in the field practice area of UHTC in surendranagar district [Internet]. Journaldatabase.info. [cited 2023 Jun 29]. Available from:

[https://journaldatabase.info/articles/cross\\_sectional\\_study\\_on\\_knowledge.html](https://journaldatabase.info/articles/cross_sectional_study_on_knowledge.html)

27. Srivastava DK, Prakash S, Adhish V, Nair KS, Gupta S, Nandan D. A study of interface of ASHA with the community and the service providers in Eastern Uttar Pradesh. Indian J Public Health [Internet]. 2009 [cited 2023 Jun 29];53(3):133–6. Available from:

<https://pubmed.ncbi.nlm.nih.gov/20108875/>

28. Goel AD, Gosain M, Amarchand R, Sharma H, Rai S, Kapoor SK, et al. Effectiveness of a quality improvement program using difference-in-difference analysis for home based newborn care - results of a community intervention trial. Indian J Pediatr [Internet]. 2019;86(11):1028–35. Available from: <http://dx.doi.org/10.1007/s12098-019-03012-4>

29. Gupta M, Tripathy JP, Jamir L, Sarwa A, Sinha S, Bhag C. Improving quality of homebased postnatal care by microteaching of multipurpose workers in rural and urban slum areas of Chandigarh, India: a pilot study. Adv Med Educ Pract [Internet]. 2017;8:1–8. Available from:

<http://dx.doi.org/10.2147/AMEP.S111697>

30. Venkatachalam J, Kumar D, Gupta M, Aggarwal AK. Knowledge and skills of primary health care workers trained on integrated management of neonatal and childhood illness: followup assessment 3 years after the training. *Indian J Public Health* [Internet]. 2011 [cited 2023 Jun 29];55(4):298–302. Available from: <https://pubmed.ncbi.nlm.nih.gov/22298139/>
31. Allen D, Ryan K. Microteaching: an innovative laboratory procedure. *Prospects* (Paris) [Internet]. 1970 [cited 2023 Jun 29];1(3):31–9. Available from: <https://eric.ed.gov/?id=ED030647>
32. Taksande AM, Vilhekar KY. Neonatal resuscitation training programme, its efficiency at rural hospital. *Medical Journal of Islamic World Academy of Sciences* [Internet]. [cited 2023 Jun 29];20(1):6–9. Available from: <https://medicaljournal-ias.org/jvi.aspx?pdire=ias&plng=eng&un=IAS-29494>
33. Babyar JC. Design matters in home visiting improvement. *Int J Community Med Public Health* [Internet]. 2017;4(12):4370. Available from: <http://dx.doi.org/10.18203/23946040.ijcmph20175307>
34. Ashwell HE, Barclay L. Outcome evaluation of community health promotion intervention within a donor funded project climate in Papua New Guinea. *Rural Remote Health* [Internet]. 2009;9(4):1219. Available from: <http://dx.doi.org/10.22605/rrh1219>

35. Deshmukh V, John S, Pakhare A, Dasgupta R, Joshi A, Chaturvedi S, et al. Barriers in reaching new-borns and infants through home visits: A qualitative study using nexus planning framework. *Front Public Health* [Internet]. 2022;10:956422. Available from: <http://dx.doi.org/10.3389/fpubh.2022.956422>
36. Hannah E, Dumka N, Ahmed T, Bhagat DK, Kotwal A. Home-based newborn care (HBNC) under the national health mission in urban India - A cross country secondary analysis. *J Family Med Prim Care* [Internet]. 2022 [cited 2023 Jun 29];11(8):4505–13. Available from: [http://dx.doi.org/10.4103/jfmprc.jfmprc\\_388\\_22](http://dx.doi.org/10.4103/jfmprc.jfmprc_388_22)
37. Mony P, Raju M. Evaluation of ASHA programme in karnataka under the national rural health mission. *BMC Proc* [Internet]. 2012;6(S5). Available from: <http://dx.doi.org/10.1186/1753-6561-6-s5-p12>
38. Ramji S, Jain A. National program for RMNCH + A: Newer strategies for improving the newborn health in India. *Indian J Pediatr* [Internet]. 2019;86(7):617–21. Available from: <http://dx.doi.org/10.1007/s12098-019-02867-x>
39. Home Based Newborn Care: Operational guidelines. Revised 2014 [Internet]. *Nihfw.org*. 2014 [cited 2023 Jun 29]. Available from: [http://www.nihfw.org/Doc/NCHRC-Publications/Operational%20Guidelines%20on%20Home%20Based%20Newborn%20Care%20\(HBNC\).pdf](http://www.nihfw.org/Doc/NCHRC-Publications/Operational%20Guidelines%20on%20Home%20Based%20Newborn%20Care%20(HBNC).pdf)

40. Phatak AG, Nimbalkar SM, Prabhughate AS, Mahajani AA, Bansal SC. Comparison of knowledge and skills of Home-Based Newborn Care (HBNC) among Accredited Social Health Activists (ASHA) and health workers (SAKHI) of Ambuja Cement Foundation. *J Family Med Prim Care* [Internet]. 2021 [cited 2023 Jun 29];10(8):2865–78. Available from: <https://pubmed.ncbi.nlm.nih.gov/34660419/>
41. Joshi N, Bhardwaj P, Suthar P, Joshi V. Study of feasibility and effectiveness of ASHASoft (Online Payment and Performance Monitoring System) program in Rajasthan. *Online J Public Health Inform* [Internet]. 2020;12(1). Available from: <http://dx.doi.org/10.5210/ojphi.v12i1.10662>
42. Newton-Lewis TA, Bahety G. Evaluating the effectiveness of Community Health Worker home visits on infant health: A quasi-experimental evaluation of Home Based Newborn Care Plus in India. *J Glob Health* [Internet]. 2021 [cited 2023 Jun 29];11(04060):04060. Available from: <https://pubmed.ncbi.nlm.nih.gov/34737860/>
43. Yonzon KK, Dehingia N, Alwadhi V, Singh K, Kumar H, Bhat AA, et al. An assessment of home-based newborn care plus innovation in six districts of Rajasthan: A cross sectional comparative analysis. *Indian J Community Health* [Internet]. 2019 [cited 2023 Jun

29];31(3):338–46.

Available

from:

<http://iapsmupuk.org/journal/index.php/IJCH/article/view/1118>

44. Pathak PK, Singh JV, Agarwal M, Singh VK, Tripathi SK. Study to assess the homebased newborn care (HBNC) visit in rural area of Lucknow: A cross-sectional study. *J Family Med Prim Care* [Internet]. 2021 [cited 2023 Jun 29];10(4):1673–7. Available from:

[http://dx.doi.org/10.4103/jfmprc.jfmprc\\_911\\_20](http://dx.doi.org/10.4103/jfmprc.jfmprc_911_20)

45. Upadhyay RP, Chinnakali P, Odukoya O, Yadav K, Sinha S, Rizwan SA, et al. High neonatal mortality rates in rural India: what options to explore? *ISRN Pediatr* [Internet]. 2012;2012:968921. Available from: <http://dx.doi.org/10.5402/2012/968921>

46. Datta V, Ghosh S, Aquino LD. Progressing towards SDG 2030 goals with system changes: the India Newborn Action Plan. *BMJ Open Qual* [Internet]. 2022;11(Suppl 1):e001971. Available from: <http://dx.doi.org/10.1136/bmjoq-2022-001971>

47. Garg S, Tripathi N, Datla J, Zapata T, Mairembam DS, Bebartta KK, et al. Assessing competence of mid-level providers delivering primary health care in India: a clinical vignettebased study in Chhattisgarh state. *Hum Resour Health* [Internet]. 2022;20(1):41. Available from:

<http://dx.doi.org/10.1186/s12960-022-00737-w>

48. Mozumdar A, Khan ME, Mondal SK, Mohanan PS. Increasing knowledge of home based maternal and newborn care using self-help groups: Evidence from rural Uttar Pradesh, India. *Sex*

*Reprod Healthc* [Internet]. 2018;18:1–9. Available from:

<http://dx.doi.org/10.1016/j.srhc.2018.08.003>

49. Devi RS, Pugazhendi S, Juyal R, Gaur A, Singh SB. Evaluation of existing Home Based Newborn Care (HBNC) services and training for improving performance of Accredited Social Health Activists (ASHA) in rural India: A multiple observation study. *Midwifery* [Internet].

2023;116(103514):103514. Available from: <http://dx.doi.org/10.1016/j.midw.2022.103514>

## ANNEXURES:

### Database Search: PubMed:

Search number	Query	Sort By	Filters	Search Details	Results
6	((#2) AND (#3)) AND (#4)) AND (#5)			("newborn"[Title/Abstract] OR "neonates"[Title/Abstract] OR "neonatal"[Title/Abstract]) AND ("home based care"[Title/Abstract] OR "home visits"[Title/Abstract] OR "home based"[Title/Abstract] OR "home care"[Title/Abstract]) AND ("quality"[Title/Abstract] OR "barrier"[Title/Abstract] OR "issues"[Title/Abstract]) AND ("india"[MeSH Terms] OR "india"[All Fields] OR "india s"[All Fields] OR "indias"[All Fields])	38
5	india			"india"[MeSH Terms] OR "india"[All Fields] OR "india s"[All Fields] OR "indias"[All Fields]	767,040
4	((quality[Title/Abstract]) OR (barrier[Title/Abstract])) OR (issues[Title/Abstract])			"quality"[Title/Abstract] OR "barrier"[Title/Abstract] OR "issues"[Title/Abstract]	1,930,697

3	((home based care[Title/Abstract] OR (home visits[Title/Abstract])) OR (home		"home based care"[Title/Abstract] OR "home visits"[Title/Abstract] OR "home	42,270
	based[Title/Abstract]) OR (home care[Title/Abstract])		based"[Title/Abstract] OR "home care"[Title/Abstract]	
2	((newborn[Title/Abstract] OR (neonates[Title/Abstract]) OR (neonatal[Title/Abstract])		"newborn"[Title/Abstract] OR "neonates"[Title/Abstract] OR "neonatal"[Title/Abstract]	407,406

**Database Search: Cochrane:**

ID	Search	Hits
#1	(newborn):ti,ab,kw OR (neonate):ti,ab,kw OR (neonatal):ti,ab,kw	41951
#2	(home based care):ti,ab,kw OR (home visits):ti,ab,kw OR (home based):ti,ab,kw OR (home care):ti,ab,kw	39200
#3	(quality):ti,ab,kw OR (barrier):ti,ab,kw OR (issues):ti,ab,kw	245133
#4	(India)	32233
#5	#1 AND #2 AND #3 AND #4	51

**JBI critical appraisal checklist:**

## JBI CRITICAL APPRAISAL CHECKLIST FOR QUASI-EXPERIMENTAL STUDIES

Reviewer \_\_\_\_\_ Date \_\_\_\_\_

Author \_\_\_\_\_ Year \_\_\_\_\_ ~~Record~~ Number \_\_\_\_\_

	Yes	No	Unclear	Not applicable
1. Is it clear in the study what is the <del>'cause'</del> and what is the 'effect' ( <del>ie.</del> there is no confusion about which variable comes first)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Were the participants included in any comparisons similar?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Were the participants included in any comparisons receiving similar treatment/care, other than the exposure or intervention of interest?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Was there a control group?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Were there multiple measurements of the outcome both pre and post the intervention/exposure?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Was follow up complete and if not, were differences between groups in terms of their follow up adequately described and <del>analysed?</del>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Were the outcomes of participants included in any comparisons measured in the same way?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Were outcomes measured in a reliable way?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Was appropriate statistical analysis used?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Overall appraisal: Include  Exclude  Seek further info

Comments (including reason for exclusion)

---

---

---

© JBI 2020. All rights reserved. JBI grants use of these tools for research purposes only. All other enquiries should be sent to [jbi@synthesis.evidence.ox.ac.uk](mailto:jbi@synthesis.evidence.ox.ac.uk).

Critical Appraisal Checklist for Quasi-Experimental Studies 3

## Sheetal S D

### ORIGINALITY REPORT

7%

SIMILARITY INDEX

3%

INTERNET SOURCES

5%

PUBLICATIONS

3%

STUDENT PAPERS

### PRIMARY SOURCES

- 1 Vaishali Deshmukh, Shibu John, Abhijit Pakhare, Rajib Dasgupta et al. "Barriers in reaching new-borns and infants through home visits: A qualitative study using nexus planning framework", *Frontiers in Public Health*, 2022  
Publication 1%
- 2 [www.ncbi.nlm.nih.gov](http://www.ncbi.nlm.nih.gov)  
Internet Source 1%
- 3 Submitted to University of Nottingham  
Student Paper 1%
- 4 Hwa-Young Lee, Hannah H. Leslie, Juhwan Oh, Rockli Kim, Alok Kumar, S. V. Subramanian, Margaret E. Kruk. "The association between institutional delivery and neonatal mortality based on the quality of maternal and newborn health system in India", *Scientific Reports*, 2022  
Publication 1%
- 5 Submitted to University of Sydney  
Student Paper 1%



6	<a href="http://www.healthynewbornnetwork.org">www.healthynewbornnetwork.org</a> Internet Source	1 %
7	<a href="http://www.researchgate.net">www.researchgate.net</a> Internet Source	<1 %
8	Submitted to King's College Student Paper	<1 %
9	Madhu Gupta, Jaya Prasad Tripathy, Limallemla Jamir, Ashutosh Sarwa, Smita Sinha, Chering Bhag. "Improving quality of home-based postnatal care by microteaching of multipurpose workers in rural and urban slum areas of Chandigarh, India: a pilot study", <i>Advances in Medical Education and Practice</i> , 2016 Publication	<1 %
10	<a href="http://www.researchsquare.com">www.researchsquare.com</a> Internet Source	<1 %
11	Vandana, Padma Krishnaswamy. "A Prospective Study of Severe Acute Maternal Morbidity and Maternal Near Miss in a Tertiary Care Hospital", <i>The Journal of Obstetrics and Gynecology of India</i> , 2021 Publication	<1 %
12	<a href="http://123dok.net">123dok.net</a> Internet Source	<1 %



13

Achyut Raj Pandey, Bikram Adhikari, Bipul Lamichhane, Bishnu Dulal, KC Saugat Pratap, Deepak Joshi, Sushil Chandra Baral. "Newborn Care Practices in Health Facilities of Nepal: A Further Analysis from Nepal Health Facility Survey 2015 and 2021", Cold Spring Harbor Laboratory, 2023

Publication

<1 %

14

pdffox.com  
Internet Source

<1 %

Exclude quotes Off

Exclude matches Off

Exclude bibliography On